



# Consensus document: management of heart failure in type 2 diabetes mellitus

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## Abstract

Type 2 diabetes mellitus (T2DM) is a known predisposing factor for heart failure (HF). The growing burden of these two conditions and their impact on health of the individual and on society in general needs urgent attention from the health care professionals. Availability of multiple treatment choices for managing T2DM and HF may make therapeutic decisions more complex for clinicians. Recent cardiovascular outcome trials of antidiabetic drugs have added very robust evidence to effectively manage subjects with this dual condition. This consensus statement provides the prevalence trends and the impact of this dual burden on patients. In addition, it concisely narrates the types of HF, the different treatment algorithms, and recommendations for physicians to comprehensively manage such patients.

**Keywords** Type 2 diabetes mellitus · Heart failure · Trials · Diagnosis · Drug

## Introduction

Type 2 diabetes mellitus (T2DM) is a well-known predisposing factor for heart failure (HF) and patients with this combination have adverse outcomes, and higher mortality rates compared with those presenting with a single condition [1, 2]. The pathophysiology of both these conditions is closely interlinked [3,4,5]. Early identification

and optimal and immediate intervention in such cases result in better outcomes. This consensus document provides evidence-based guidance on risk factors and diagnostic and prognostic factors, which could help in appropriate management to reduce morbidity and mortality. The management strategies for patients with different phenotypes of HF having coexisting T2DM are also discussed.

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## The burden of heart failure

Heart failure is a global pandemic affecting an estimated 26 to 37.7 million people worldwide [6, 7]. The burden of HF in India has become an important public health concern because of very high mortality. Table 1 presents the epidemiology and mortality of HF in India.

Heart failure exerts a high economic burden mostly due to hospitalizations [14]. India is the highest spending country on HF in South Asia with a total expenditure of \$1186 million, which was 1.10% of global expenditure and 1.7% of total health expenditure of India [15].

## Dual burden of heart failure and type 2 diabetes mellitus

A systematic review, analyzing 57 studies and evaluating 4,549,481 patients with T2DM, reported a 14.9% prevalence of HF, 14.6% of angina, 10% of myocardial infarction (MI), and 7.6% of stroke in patients with T2DM [16]. The prevalence of T2DM in patients with HF was 24% and 45.3% in the Swedish and Spanish HF registries respectively [17, 18]. In the latter study enrolling 1082 patients with decompensated HF from 2008 to 2011, 490 (45.3%) had diabetes. A total of 151 patients (30.8%) with T2DM died, and 197 patients (40.2%) with T2DM were readmitted because of HF, during the follow-up period. Type 2 diabetes mellitus was significantly associated with increased all-cause mortality (ACM) (hazard ratio (HR) 1.49; 95% confidence interval (CI) 1.19 to 1.87;  $p < 0.001$ ) and readmissions (HR 1.39;

95% CI 1.15 to 2.69;  $p < 0.001$ ) over the 1-year follow-up [18]. An Asian HF registry reported the prevalence of T2DM as 41.3% in patients with HF [19]. The annual incidence of HF due to diabetes in India has been projected to increase by 18% (73,600 (2000) to 161,000 (2025)) [8]. According to an Indian HF consensus estimate (2018), 50% of patients with chronic HF have diabetes [20]. A recent report from the largest Indian registry documents a prevalence of diabetes to be 49.2% in patients with HF [21].

### Key messages

1. Around 50% of patients with chronic HF have diabetes in India.
2. The annual incidence of HF in India is expected to increase by 18%.
3. Heart failure is more prevalent than myocardial infarction in patients with T2DM.

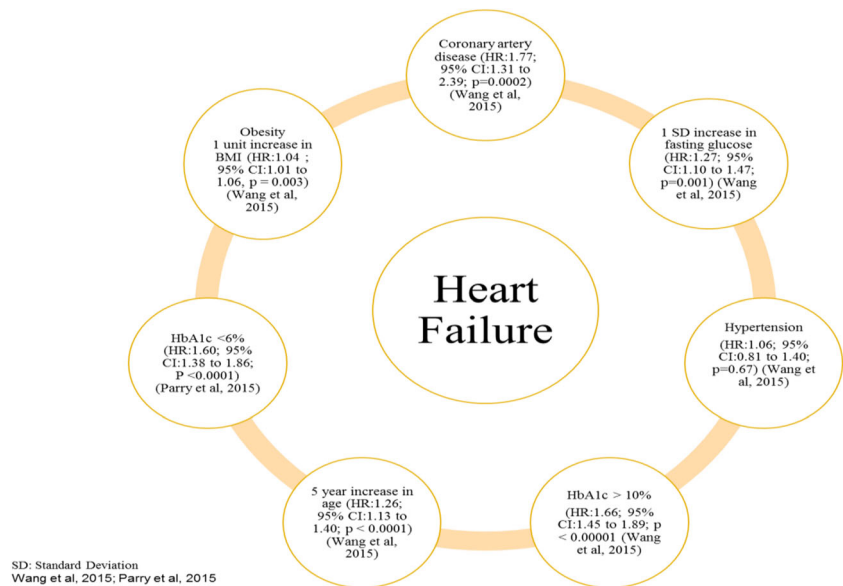
Figure 1 shows the factors associated with HF in T2DM [22, 23] and Table 2 shows the risk score for heart failure in diabetes.

In a study by Parry et al., glycosylated hemoglobin (HbA1c)  $< 6\%$  (HR 1.60; 95% CI 1.38 to 1.86;  $p < 0.0001$ ) as well as HbA1c  $> 10\%$  (HR 1.80; 95% CI 1.60 to 2.16;  $p < 0.0001$ ) were independently associated with the risk of HF. This U-shaped relationship was attributed partly due to the adverse off-target effects of antidiabetic medications like thiazolidinediones (TZDs), or insulin. Glycosylated hemoglobin variability (HR 0.80, 95% CI 0.74 to 0.85;  $p < 0.0001$ ) has also been found to be associated with HF incidence, with less variability in HbA1c having a protective effect on incident HF. The HbA1c variability is also postulated to enhance cell

**Table 1** Epidemiology and mortality of heart failure in India

Author, year	Parameter	Prevalence/Number of patients
Huffman and Prabhakaran, 2010 <sup>8</sup>	Heart failure (Overall estimates)	1.3 to 4.6 million
GBD 2017 <sup>9</sup>	IHD DALY/100,000 population	2679.17
Chaturvedi et al, 2016 <sup>10</sup>	Heart failure (hospital attendees)	20.4%
Chaturvedi et al, 2016 <sup>10</sup>	Heart failure (General community)	1.2/1000 population
Dokainish et al, 2017 <sup>11</sup>	Heart failure mortality (Overall)	23%
Chaturvedi et al, 2016 <sup>10</sup>	Heart failure mortality (North India)	0.1 to 0.16 million individuals per year
Chaturvedi et al, 2016 <sup>10</sup>	Heart failure mortality (30 days)	12.5%
Harikrishnan et al, 2015 <sup>12</sup>	Heart failure mortality (30 days)	12.5%
	Heart failure mortality (90 days)	18.1%
Harikrishnan et al, 2017 <sup>13</sup>	Heart failure mortality (1 year)	30.8%

**Fig. 1** Factors associated with heart failure



apoptosis and oxidative stress, and thereby lead to HF in patients with diabetes [23]. Moreover, chronic kidney disease (CKD) increases mortality and the overall progression of cardiovascular disease (CVD) and HF [24]. Prevalence of CKD (eGFR < 60 mL/min/1.73 m [2]) in patients with HF was observed to be 63%, which was associated with an 11% increase in hospitalization and a 17% increase in mortality. Worsening of renal function and mortality was more prevalent in patients with diabetes compared with the reference group (32% vs. 25%) [25].

### Heart failure in patients with prediabetes

Patients with prediabetes and undiagnosed T2DM represent a vulnerable population who often remain undiagnosed. In the PARADIGM-HF study among 8399 patients with heart failure with reduced ejection fraction (HFrEF), 13% had undiagnosed T2DM (HbA1c  $\geq$  6.5%) and 25% had prediabetes (HbA1c 6.0–6.4%) [26]. The risk of HF for patients with undiagnosed diabetes mellitus (DM) (HR 1.39; 95% CI 1.17 to 1.64;  $p < 0.001$ ) and prediabetes (HR 1.27; 95% CI 1.10 to 1.47;  $p < 0.001$ ) was higher compared with non-diabetics [26]. India bears a considerable burden of prediabetes and undiagnosed diabetes. The Indian Council of Medical Research-INDIAB study reveals that around 77.2 million people in India are prediabetic [27]. About 36 million (52%) people are known to have undiagnosed DM [28]. The most frequent cardiac abnormality associated with asymptomatic DM includes left ventricular (LV) diastolic dysfunction. Silent myocardial ischemia occurs in T2DM patients due to autonomic

neuropathy and increases the probability of HF by a delay in diagnosis and appropriate management [29].

#### Key messages

1. Glycated hemoglobin variability, TZDs, co-morbid CKD, and silent myocardial ischemia may exacerbate the risk of HF.
2. Prediabetes and undiagnosed diabetes are risk factors for HF and both have a high prevalence in India.

### Subclinical heart failure

There is no standard definition for subclinical HF in the current guidelines; however, as per the American Heart Association (AHA) classification of HF, T2DM patients fit under stage A. Nystrom et al. have defined diastolic dysfunction (AHA stage B) as subclinical HF [30]. Another study defined subclinical HF as an absence of signs of overt HF and a B-type natriuretic peptide (BNP) level > 150 ng/mL [31]. Overt HF was considered if patients fulfilled one of the following criteria: NYHA class II–IV, objective signs of HF (pulmonary congestion, ankle edema), history of hospitalization due to HF (HHF), and chronic treatment with diuretics. An Indian study found that 63% of patients with T2DM had diastolic dysfunction, irrespective of the age and the duration of the disease. The study suggests existence of pre-clinical cardiomyopathy and recommended routine 2D echo in all patients with T2DM to enable early therapeutic interventions [32]. Similar results were seen in patients with T2DM without overt cardiac disease; 68% of patients had asymptomatic LV dysfunction (27% isolated systolic, 16% isolated diastolic, and 25% combined systolic and diastolic) [33]. Subclinical HF being a silent precursor of HF may be underdiagnosed [34], and early

**Table 2** TIMI risk score for heart failure in diabetes (TRS-HF<sub>DM</sub>)

Risk Indicator	Points
Prior heart failure	2
Atrial fibrillation	1
Coronary artery disease	1
eGFR <60 mL · min <sup>-1</sup> · 1.73 m <sup>-2</sup>	1
Urine albumin-to-creatinine ratio	
>300 mg/g	2
30–300 mg/g	1

therapeutic interventions may improve outcomes and mortality [35, 36].

#### Key messages

1. Presence of diastolic dysfunction on 2D echo, BNP > 150 ng/mL without overt HF, can be considered to be the signs of subclinical HF.
2. Subclinical HF is underdiagnosed.
3. Early therapeutic interventions will improve mortality and morbidity.

### Classification and phenotypes of heart failure

The American College of Cardiology Foundation (ACCF)/AHA [37] classifies patients into four stages based on the risk factors for HF and cardiac structure abnormalities, while the New York Heart Association (NYHA) [38] four stage classification categorizes patients based on their degree of symptomatic limitation of physical activity (Table 3) [39]. Accordingly, DM being a risk factor for HF; patients could be potentially considered STAGE A or NYHA I HF category. Suggesting, patients of T2DM need proactive assessment for HF and managed aggressively in order to prevent morbidity and mortality associated with HF.

### Characteristics of heart failure phenotypes

Table 4 describes HF phenotype according to the ejection fraction (EF) [39]. Response to treatment and prognosis of

HF differs significantly based on EF, as well as the patient's demographic and comorbidities. Not to mention, patients are being selected to clinical trials based on EF and application of results of such trials to clinical practice should be considered keeping the EF in the background.

The prevalence of both phenotypes based on EF has been observed to be similar. In a Swedish HF registry, 25% and 24% of patients with HF with preserved ejection fraction (HFpEF) and HFrEF, respectively, had diabetes [40]. In 7599 patients with symptomatic chronic HF in the USA, the prevalence of diabetes was 28.3% in patients with HFpEF and 28.5% in those with HFrEF [41]. The Medanta registry (48.7% had comorbid DM) found that most Indian patients had HFrEF (59.1%) [21]. The Inter-CHF study (Indian data) [11] reported an HFrEF prevalence of 53% (26% had comorbid DM), while the Trivandrum HF Registry (THFR) [12] reported a prevalence of HFpEF to be 26% (55% had comorbid DM).

#### Recommendations

1. The prevalence of HFrEF and HFpEF in India is similar (~ 50%) in patients with T2DM.
2. Identifying the HF phenotype and stage of HF can guide management.
3. Though there is no proven specific therapy for HFpEF, it is clinically important to distinguish it from HFrEF as the latter has proven medical and surgical therapies. Also, identification of HFpEF can direct therapies for efficient management of risk factors and prevent progression.

**Table 3** Classification of heart failure (HF)

<b>AHA/ACCF</b>	<b>STAGE A</b> At high risk of HF but without structural heart disease or symptoms of HF	<b>STAGE B</b> structural heart disease but without symptoms or signs of HF	<b>STAGE C</b> Structural heart disease with prior or current symptoms of HF	<b>STAGE D</b> refractory HF requiring specialized interventions
Patients	<b>Diabetes Mellitus</b> , hypertension, obesity, metabolic syndrome, atherosclerotic disease	Previous MI, LV remodeling including LVH and low EF, asymptomatic valvular disease	Known structural heart disease and shortness of breath and fatigue	Patients who have symptoms at rest
<b>NYHA</b>	I	I	I, II, III, IV	IV
I	No limitation of physical activity. Ordinary physical activity does not cause symptoms of HF			
II	Slight limitation of physical activity. Comfortable at rest, but ordinary physical activity results in symptoms of HF			
III	Marked limitation of physical activity. Comfortable at rest, but less than ordinary activity causes symptoms of HF			
IV	Unable to carry on any physical activity without symptoms of HF, or symptoms of HF at rest			

### Pathophysiology of heart failure in type 2 diabetes mellitus

T2DM is associated with more atherogenic dyslipidemia and endothelial dysfunction, clearly suggesting the importance of role of lipid lowering drugs in reducing HF. T2DM is frequently linked to left ventricular hypertrophy largely caused by insulin resistance and hyperinsulinemia [42]. Hyperglycemia results in cardiac muscle stiffness and leading to non-compliance of the myocardium. Therefore, drugs decreasing insulin resistance and aggressively controlling the hyperglycemia should be part of therapy in order to reduce the incidence of HF in T2DM [43].

T2DM could potentially cause cardiomyopathy independent of atherosclerotic ischemia, and there is evidence of cardiomegaly in T2DM patients. Hence, therapy targeting such pathology [44], .e.g., SGLT2i, might be useful [45, 46]. Figure 2 provides a schematic representation of the pathophysiology of HF along with the primary pathways for HF in T2DM.

### Diagnosis of HF in type 2 diabetes mellitus

Heart failure diagnosis necessitates a detailed history, assessment of clinical signs and symptoms, assessment of diagnostic biomarkers, and an echocardiogram. The steps to confirm the diagnosis of HF include (1) symptoms and signs of pulmonary and/or systemic venous congestion; (2) identification of any

**Table 4** Classification based on ejection fraction (EF)

<b>Ejection Fraction Based</b>	<b>HFrEF: EF &lt; 40%</b>
	<b>HFmrEF: EF = 40% to 49%</b>
	<b>HFpEF: EF ≥ 50%</b>

EF: Ejection fraction; HF: Heart failure; HFmrEF: Heart failure with mid-range ejection fraction; HFpEF: Heart failure with preserved ejection fraction; HFrEF: Heart failure with reduced ejection fraction.

structural abnormality of atria and/or ventricles or heart valves; (3) evidence of impaired ventricular filling at rest or effort; (4) exclusion of diagnoses with overlapping symptoms; (5) objective documentation of reduced exercise capacity; and (6) evaluation of biomarker levels such as natriuretic peptides (NPs) [20, 53].

## Role of biomarkers in the diagnosis of heart failure in type 2 diabetes mellitus

Biomarkers add value as their blood concentrations aid in the diagnosis, determine disease severity, estimate prognosis, evaluate response to therapy, and establish management plans [54, 55]. Largely, B-type natriuretic peptide (BNP) and N-terminal-proBNP are used for the diagnosis and prognosis of HF. However, other biomarkers, cardiac troponin I, could also be used to assist in the diagnosis of HF (Table 5). Biomarkers should be used in conjunction with clinical judgment while making treatment decisions.

### Recommendations

1. BNP and NT proBNP are good diagnostic and prognostic markers.
2. ST2 and Galectin-3 are supportive prognostic markers.
3. Elevated cardiac troponin level suggests ongoing cardiac necrosis.
4. High-sensitive troponin is considered quantitative troponin.

The diagnostic algorithm for HF is described in Fig. 3 a and b.

Important diagnostic test in T2DM is the coronary angiography: In India, most common cause of HF is coronary artery disease (CAD), especially in T2DM. Hence, in undiagnosed HF (especially in those aged > 40 years) where the etiology is unclear, it should be mandatory to do a coronary angiography to rule out CAD (in view of the high incidence of CAD, especially in diabetics) [63].

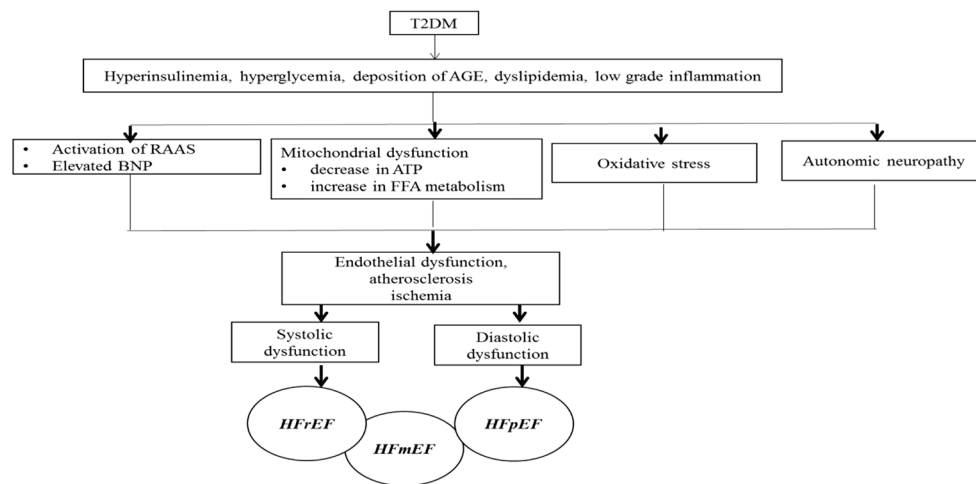
## Glycemic management in T2DM and HF-RSSDI [65], ADA [66], and ACE/AACE [67] guidelines

Studies like UKPDS [68], ADVANCE [69], ACCORD [70], and VADT [71]. have shown no improvement in heart failure even after intensive glycemic management. However, many observational studies have reported optimal glycemic control is beneficial to patients. Some studies demonstrated increase in HbA1c more than 8%, 9%, and 10% could potentially increase the risk of worsening of HF [72, 73, 74]. All the guidelines suggest of individualizing therapy and glycemic goal for every patient. Generally, all guidelines recommend being as stringent with HbA1c to near normal glycemic levels (Fig. 4 a and b). However, patient characteristics like age, duration of diabetes, and risk of complications including hypoglycemia and comorbidities should be kept in mind while achieving the

glycemic goal. Therefore, choosing a glucose lowering drug is very critical and should be personalized based on the need of the patients.

Few salient points while considering the antidiabetic drug:

- Glycemic management should generally start with metformin unless there is evidence of renal impairment or another contraindication. Metformin is safe and generally well-tolerated. Metformin should be used with caution in patients with estimated glomerular filtration rate (eGFR) < 45 mL/min/1.73 m<sup>2</sup> and not to be used in eGFR <30 mL/min/1.73 m<sup>2</sup>.
- Second line therapy in case patient fails to achieve glycemic control, may include, sulfonylurea, thiazolidinediones, dipeptidyl dipeptidase-4 inhibitor (DPP4i), sodium-glucose co-transporter 2 (SGLT-2) inhibitors, and GLP1 agonists.
- Third line therapy includes either adding third oral agent or starting insulin.
- Thiazolidinediones and sulfonylureas should be used with caution as they might potentially increase the risk of worsening of heart failure. However, recent study CAROLINA has shown no increase in risk of HHF with glimepiride when compared with linagliptin in T2DM patients without HF [75].
- The completed CVOTs with glucagon-like peptide-1 receptor (GLP-1) agonists include LEADER with liraglutide [76] and SUSTAIN-6 with semaglutide [77] showed reduction in MACE (HR 0.87 and 0.74 respectively), and liraglutide showed trend towards reducing the hospitalization for HF (HHF) preferably in high CV risk T2DM patients only, whereas ELIXA with lixisenatide [78] and EXSCEL with long acting exenatide [79] failed to demonstrate CV protection. A meta-analysis [80] of these 4 CVOTs (LEADER, SUSTAIN-6, ELIXA, and EXSCEL) (Table 6) demonstrated a favorable risk-benefit ratio for GLP 1 agonists with a reduction in 3-point MACE (HR 0.90; 95% CI 0.82 to 0.99;  $p = 0.033$ ), CV mortality (HR 0.87; 95% CI 0.79 to 0.96;  $p = 0.007$ ), and ACM (HR 0.88; 95% CI 0.81 to 0.95;  $p = 0.002$ ). HARMONY trial [81] showed reduction in MACE (HR 0.78; 95% CI 0.68 to 0.90;  $p < 0.001$ ) and MI (HR 0.75; 95% CI 0.61 to 0.90;  $p = 0.03$ ) but did not reduce CV death, ACM, or stroke. The recently published REWIND [82] trial also demonstrated a reduction in MACE (HR 0.88; 95% CI 0.79 to 0.99;  $p = 0.026$ ), though there was no difference in mortality, and a higher incidence of gastrointestinal adverse events (47.4% vs. 34.1% in placebo) were recorded.
- Dipeptidylpeptidase-4 (DPP4) inhibitors or gliptins should be used with caution as they have shown inconsistent effect on HHF in the CVOTs. Sitagliptin showed equivocal results in the TECOS trial [83] for the HHF



**Fig. 2** Schematic representation of pathophysiology of heart failure in type 2 diabetes mellitus. AGE: advanced glycation end products; ATP: adenosine triphosphate; BNP: B-type natriuretic peptide; FFA: free fatty acids; HFmrEF: heart failure with mid-range ejection fraction; HFpEF: heart failure with preserved ejection fraction; HFrEF: heart failure with reduced ejection fraction; RAAS: renin-angiotensin-aldosterone system; T2DM: type 2 diabetes mellitus. Hyperinsulinemia, hypoglycemia, and hyperglycemia with AGE deposition, along with dyslipidemia and low-

grade inflammation, result in activation of RAAS, mitochondrial dysfunction, oxidative stress, and autonomic neuropathy (causes silent ischemia-induced myocardial damage). These interlinked pathways lead to endothelial dysfunction, atherosclerosis, and ischemia. The resultant cardiac dysfunction can lead to systolic dysfunction (HFrEF) or diastolic dysfunction (HFpEF), or an intermediate phenotype (HFmrEF) [47,48,49,50,51,52]

end point (3.1% sitagliptin vs. 3.1% placebo (HR 1.00; 95% CI 0.83 to 1.20)). SAVOR-TIMI 53 trial [84] in contrast unveiled an increased risk of HHF (3.5% in saxagliptin vs. 2.8% in placebo (HR 1.27; 95% CI 1.07 to 1.51)) with saxagliptin. EXAMINE [85] results with alogliptin concurred with an increased HHF trend (3.9% alogliptin vs. 3.3% placebo (HR 1.19; 95% CI 0.89 to 1.58)). Vildagliptin in the VIVID trial (only gliptin trial in HFrEF) [86] had no major effect on EF, but caused an increase in LV volumes (LV end-diastolic volume 17.06; 95% CI 4.62 to 29.51;  $p = 0.007$  and LV end-systolic volume 9.44; 95% CI  $-0.49$  to 19.38;  $p = 0.062$ ), the significance of which was not clear. Though only saxagliptin and alogliptin have shown increased risk of HHF and not vildagliptin, linagliptin, and sitagliptin, it is advisable to weigh the risk benefit while using these drugs in patients with HF and DM.

### Emerging favorable evidence for SGLT2i right from the early stages of heart failure

- Sodium-glucose co-transporter-2 inhibitors (SGLT2i) have had the most favorable HHF and mortality outcomes in multiple CVOTs in T2DM patients. These favorable HF results have been demonstrated in patients with or without a history of HF or atherosclerotic cardiovascular disease (ASCVD). A recent meta-analysis demonstrated robust benefits with SGLT2i on HHF and CV death (HR 0.77; 95% CI 0.71 to 0.84;  $p < 0.001$ ), in patients with and without pre-

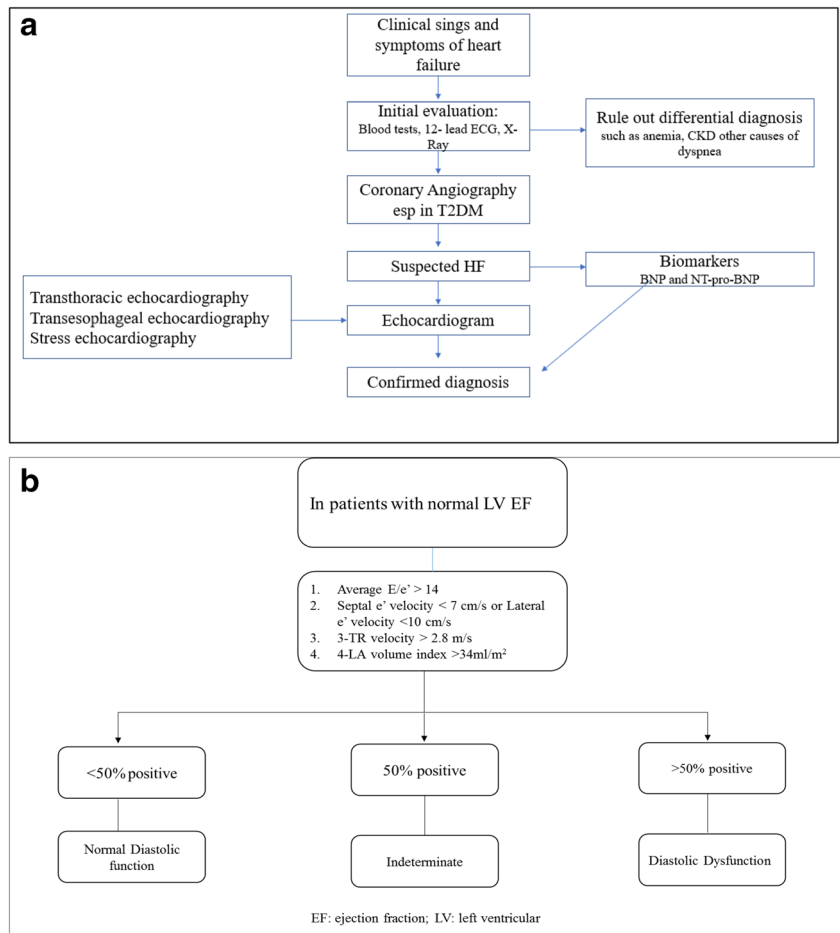
existing ASCVD or HF, indicating benefits even in the early HF stages [87]. Empagliflozin in the EMPAREG OUTCOME study [88] and dapagliflozin in DECLARE-TIMI 58 [89] study revealed a significant reduction in HHF (HR 0.65; 95% CI 0.50 to 0.85;  $p = 0.002$  and HR 0.73; 95% CI 0.61 to 0.88, respectively). Analysis of the CANVAS program [90] also showed a reduction in HHF (HR 0.64; 95% CI 0.35 to 1.15 vs. HR 0.68; 95% CI 0.51 to 0.90; interaction  $p = 0.91$ ) in the primary and secondary prevention cohorts, respectively. The US FDA has approved empagliflozin (for lowering CV death) and canagliflozin (for lowering MACE) in patients with T2DM and established CVD. DECLARE-TIMI 58 results showed lower rates of CV death or HHF (4.9% vs. 5.8%; HR 0.83; 95% CI 0.73 to 0.95;  $p = 0.005$ ), and suggested primary prevention of cardiorenal complications, as benefits were shown in patients with risk factors without baseline ASCVD or HF. DECLARE TIMI results when stratified by EF showed a HHF reduction in patients with (HR 0.64; 95% CI 0.43 to 0.95) and without (HR 0.76, 95% CI 0.62 to 0.92) HFrEF, and reduced CV death (HR 0.55, 95% CI 0.34 to 0.90) and ACM (HR 0.59; 95% CI 0.40 to 0.88) in HFrEF [91]. Numerous studies have shown additional metabolic benefits like improvement in body weight, blood pressure, lipid profile, insulin sensitivity, volume overload, and cardiac function [92,93,94]. Sodium-glucose co-transport-2 inhibitors have the advantage of efficient glycemic control with added cardiometabolic benefits. The recently presented DAPA-

**Table 5** Role of biomarkers in the diagnosis of heart failure

Biomarkers	Physiological Actions	Recommendations for Diagnostic/Prognostic Value
B-type natriuretic peptide (BNP)  N-terminal-proBNP	In response to abnormal myocardial stretch (as in HF), the ventricular myocyte secretes large amounts of prohormone BNP 1-108. This is quickly cleaved into a biologically active (but less stable) BNP 1-32 and an inert (but more stable) NT-pro-BNP 1-76.	<p>The upper limit of normal BNP value is 35 pg/mL and for a NT-proBNP value is 125 pg/mL (non-acute settings) and BNP &gt;100 pg/ml and NT-pro BNP &gt;300 pg/ml (acute setting) strongly suggests the possibility of HF.<sup>39</sup></p> <p>Measurement of BNP and NT-proBNP is useful to support the clinical judgement for the diagnosis of ambulatory and acute decompensated patients, especially in the setting of clinical uncertainty.<sup>56,57</sup></p> <p>Measurement of pre-discharge BNP or NT-proBNP during an HF hospitalization can be useful for establishing a post-discharge prognosis.<sup>58</sup></p> <p>Partition values for diagnostic criteria of BNP <math>\geq 100</math> pg/mL and NT-proBNP <math>\geq 800</math> pg/mL have been suggested to support the diagnosis of HFpEF.<sup>54</sup></p>
Suppressor of tumorigenicity 2 (ST2)	The soluble form of ST2 (sST2), prevents binding of IL-33 to membrane-bound ST2 leading to myocardial death and tissue fibrosis, reduced cardiac function, and acceleration of disease progression	Elevated levels of ST2 have prognostic value in the management of HF. It predicts mortality, and HF events. <sup>59,60,20,54</sup>
Galectin-3	Mediator of tumor growth and metastasis. Promotes cardiac fibroblast proliferation, collagen deposition, and ventricular dysfunction	Predict rehospitalization and death in HFpEF  Predict HF events. <sup>61</sup>
Cardiac troponin I	Troponin is an intracellular protein essential in the regulation of muscular contraction. It is made up of three subunits, Troponin I, T, and C. Cardiac troponins I (cTnI) and T (cTnT) are unique to cardiomyocytes. Therefore, increases in circulating cardiac troponins (cTn) are highly specific for ongoing myocardial damage	Diagnostic of myocardial infarction, Elevated levels predict HF deaths, suggest ongoing myocyte injury or necrosis in affected patients. Associated with impaired hemodynamics, progressive LV dysfunction. <sup>62,54</sup>



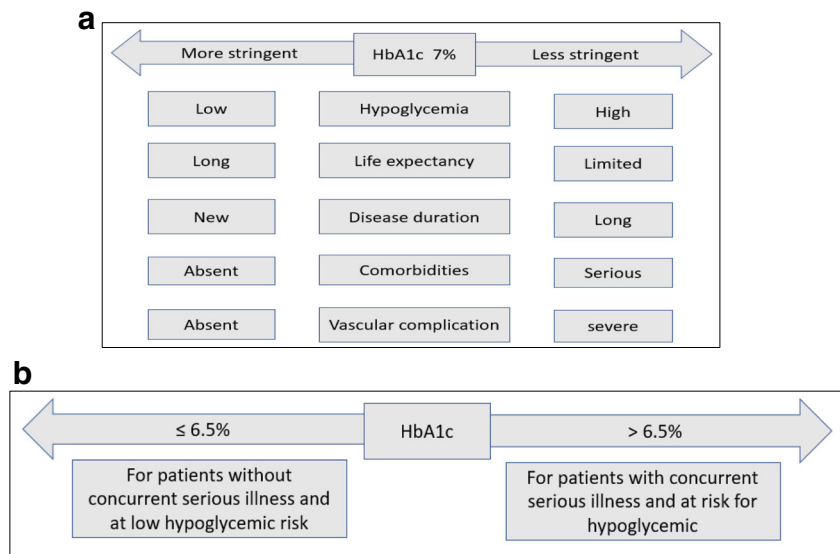
**Fig. 3** **a** Diagnostic algorithm for heart failure in T2DM [39, 63]. **b** Algorithm for diagnosis of LV diastolic dysfunction in subjects with normal LVEF [64]. Adapted from Nagueh SF, Smiseth OA, Appleton CP, et al. 2016 [64]



HF [95] results have demonstrated a significant morbidity and mortality benefit with dapagliflozin in HFrEF patients in both with and without T2DM (42% with T2DM). Ongoing studies like EMPEROR

with empagliflozin may further suggest whether SGLT2i can be used in patients with baseline HF with or without diabetes to improve mortality and morbidity.

**Fig. 4** **a** ADA recommendations for hemoglobin A1c (HbA1c) goals in patients with diabetes mellitus. **b** ACCE recommendations for hemoglobin A1c (HbA1c) goals in patients with diabetes mellitus



**Table 6** Antidiabetic cardiovascular outcome trials with positive heart failure outcomes

Drug	Study	Drug, Dosage, Median Follow-up	HF Outcomes
GLP-1 agonist	LEADER <sup>76</sup>	Liraglutide 1.8 mg to maximum tolerable dose vs. placebo FU- 3.8 years	<ul style="list-style-type: none"> <li>• Death from CV cause: 0.87 (0.78-0.97) p &lt; 0.001</li> <li>• Death: 0.78 (0.66–0.93) p = 0.007</li> <li>• Rate of death from any cause: 0.85 (0.74–0.97) p = 0.02</li> <li>• Hospitalization for HF: 0.87 (0.73–1.05) p = 0.14</li> </ul>
	SUSTAIN-6 <sup>77</sup>	Semaglutide 0.5 mg or 1.0 mg vs. placebo	<ul style="list-style-type: none"> <li>• Primary end point (CV death, nonfatal MI, nonfatal stroke): 0.74 (0.58-0.95) p &lt; 0.001 for noninferiority</li> <li>• Death from CV cause: 0.98 (0.65–1.48) p = 0.92</li> <li>• Hospitalization for HF: 1.11 (0.77–1.61) p = 0.57</li> </ul>
	EMPA-REG OUTCOME <sup>88</sup>	Empagliflozin 10 mg or 25 mg vs. placebo FU-3.1 year	<ul style="list-style-type: none"> <li>• Death from CV causes, nonfatal MI, or nonfatal stroke: 0.86 (0.74 to 0.99) p = 0.04 for superiority</li> <li>• Death from CV cause: 0.62 (0.49–0.77) p &lt; 0.001</li> <li>• Hospitalization for HF: 0.65 (0.50–0.85) p = 0.002</li> </ul>
SGLT2i	CANVAS Program <sup>90</sup>	Canagliflozin 100 mg vs. placebo FU-78 weeks	<ul style="list-style-type: none"> <li>• Death from CV causes, nonfatal MI, or nonfatal stroke: 0.86 (0.75 to 0.97) p &lt; 0.001 for noninferiority; p = 0.02 for superiority</li> <li>• Death from CV cause: 0.87 (0.72–1.06)</li> </ul>
	DECLARE-TIMI 58 <sup>91</sup>	Dapagliflozin vs placebo FU-4.2 years	<ul style="list-style-type: none"> <li>• CV death or hospitalization for HF: 0.83 (0.73–0.95) p = 0.005 for superiority</li> <li>• Major adverse CV events: 0.93 (0.84–1.03) p = 0.17 for superiority</li> <li>• Hospitalization for HF: 0.73 (0.61–0.88)</li> <li>• Death from any cause: 0.93 (0.82–1.04)</li> </ul>

- All the guidelines advise to individualize the therapy based on the characteristics of the patients, e.g., previous history or currently having any form atherosclerotic cardiovascular disease or HF or chronic kidney disease (Fig. 5), preference of weight loss, cost, and etc.

### Management of heart failure in type 2 diabetes mellitus

Heart failure treatment needs to be a priority when it coexists with T2DM. Currently, HF management is dependent on EF. The etiology and pathophysiology of HFpEF, HFmrEF, and HFrEF are heterogeneous.

The management of HFpEF and HFmrEF remains empirical and challenging due to scarce evidence as most completed and ongoing studies focus on HFrEF. Identification of the underlying cause of HF is essential to implement a targeted management strategy. The long-term objective is to improve survival, but considering the high morbidity, immediate objectives to reduce hospitalization, improve functional capacity, and achieve a better quality of life (QoL) become highly relevant.

### Heart failure with reduced ejection fraction in patients with type 2 diabetes mellitus (Fig. 6)

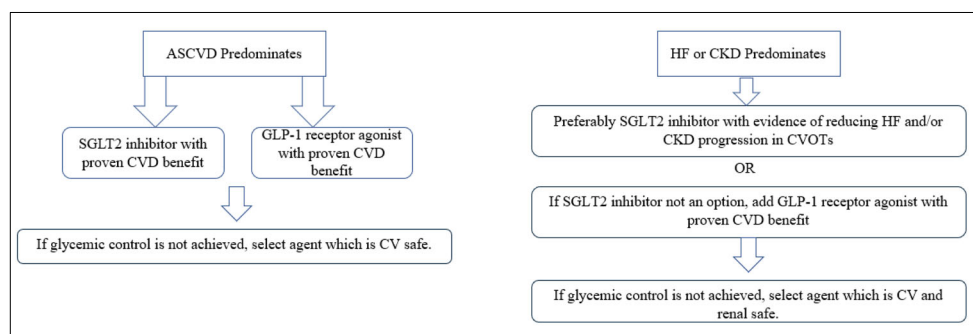
Pharmacological strategies to improve survival and QoL include angiotensin-converting enzyme inhibitor (ACEI) or angiotensin II receptor blocker (ARB), angiotensin II receptor blocker neprilysin inhibitor (ARNI), beta-blocker (BB), mineralocorticoid receptor antagonist (MRA), and ivabradine in selected cases. The European Society of Cardiology (ESC) 2016 guidelines do not differentiate treatment protocols based on T2DM status, as HF trial subanalyses in T2DM patients found therapies to be similarly effective [39].

### Renin-angiotensin-aldosterone system inhibitors

A meta-analysis [96] of six studies which included CONSENSUS, SAVE, the two SOLVD studies, SMILE, and TRACE with a total of 2398 diabetic patients and 10,188 patients without diabetes showed no difference in mortality among the patients. The RR of mortality in patients with diabetes is 0.84 (95% CI 0.70 to 1.00), whereas the estimate of the RR in patients without diabetes is 0.85 (95% CI 0.78 to 0.92). The CHARM study [97] with candesartan showed matching effects on mortality and HHF when given as an alternative to ACEI, irrespective of the T2DM status. However, a large meta-analysis [98] ( $n = 47,662$ ) demonstrated ACEI and not ARBs contribute to the reduction in ACM (RR 0.89; 95% CI 0.83 to 0.96;  $p = 0.001$ ) and CV death (RR 0.86; 95% CI 0.78 to 0.94;  $p = 0.001$ ) in HF patients, though there was no separate analysis in T2DM. Effect of sacubitril/valsartan combination (ARNI) in a subgroup analysis of PARADIGM-HF [99] in patients of HFrEF shows significant reduction in HHF/CV death is consistent irrespective of diabetes status ((no diabetes 0.68 (0.56–0.83) vs. diabetes 0.87 (0.77–0.98)). Last but not the least, benefits with mineralocorticoid receptor antagonists (MRAs) shown in studies such as RALES [100] and EMPHASIS [101] trials were consistent for patients with and without T2DM.

### Beta-blockers

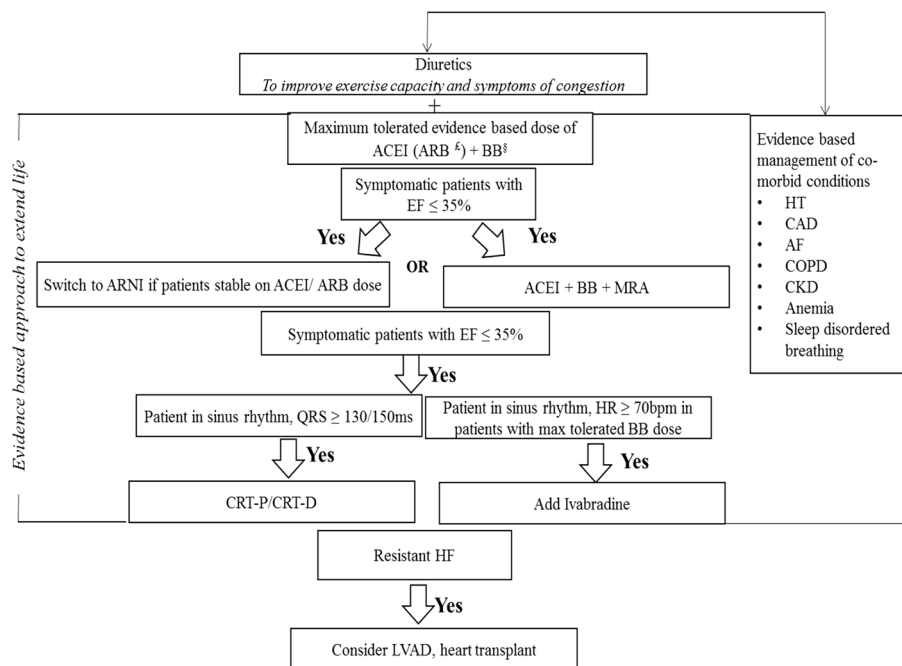
Beta-blockers downregulate the hyperactive sympathetic nervous system and has shown to reduce mortality and HHF in multiple trials. A meta-analysis [102] of effect of beta-blockers (CIBIS II, CORPERNICUS, MERIT-HF) (Table 7) on mortality from heart failure reports that patients with diabetes have reduced mortality (0.77 (0.61–0.96)) when given beta-blockers. However, the relative risk reduction when



**Fig. 5** Choice of antidiabetic drug for T2DM patients with ASCVD or HF or CKD. ASCVD = atherosclerotic cardiovascular disease; HF = heart failure; SGLT2i = sodium glucose co-transporter; CKD = chronic kidney disease; CV = cardiovascular; CVD = cardiovascular disease; CVOTs =

cardiovascular outcome trials; DPP-4 = dipeptidyl peptidase-4; GLP-1 = glucagon-like peptide-1; HbA1c = glycated hemoglobin; HF = heart failure

**Fig. 6** Algorithm for management of heart failure in type 2 diabetes mellitus



<sup>‡</sup> If intolerant to ACEI. <sup>§</sup> Select from carvedilol, bisoprolol, metoprolol or nebivolol. \*GLP-1 agonist with strongest CVD benefit: liraglutide>semaglutide>exenatide extended release. † SU with no CV risk: gliclazide, glibenclamide. ‡ Vildagliptin  
ACEI: Angiotensin converting enzyme inhibitor; AF: Atrial fibrillation; ARB: Angiotensin II receptor blocker; ARNI: angiotensin II receptor blocker neprilysin inhibitor; BB: Beta-blocker; CAD: Coronary artery disease; CKD: Chronic kidney disease; COPD: Chronic obstructive pulmonary disease; CRT-P: Cardiac synchronization therapy-pace maker CRT-D: Cardiac synchronization therapy-defibrillator; EF: Ejection fraction; HF: heart failure; LVAD: Left ventricular assist device; MRA: Mineralocorticoid receptor antagonist

compared non-diabetics (0.65 (0.57–0.74)) was less. Nevertheless, looking into the fact that diabetics are at high risk of mortality than non-diabetics, the absolute risk reduction in mortality of diabetics would be comparable with non-diabetics. Blunting of hypoglycemia symptoms is a cause of concern with BB in T2DM patients. A study showed that patients with coronary heart disease or HF had higher CV events (HR 1.27; 95% CI 1.02 to 1.60;  $p = 0.03$ ), and severe hypoglycemia in patients on BB (HR 1.30; 95% CI 1.03 to 1.64;  $p = 0.02$ ) [103].

## Ivabradine

Ivabradine is the first  $I_f$  channel inhibitor. SHIFT study results showed reduction in CV death or HHF (HR 0.82; 95% CI 0.75 to 0.90;  $p < 0.0001$ ), HHF (HR 0.74; 95% CI 0.66 to 0.83;  $p < 0.0001$ ) and deaths due to HF (HR 0.74; 95% CI 0.58 to 0.94;  $p = 0.014$ ). SHIFT results were positive for HFrEF patients with T2DM, with a significant primary composite end point reduction (RR 20% and 16%, respectively, in patients with/without diabetes), and benefit was driven by a reduction in HHF (29% and 23%, respectively) [104]. Thus, SHIFT results were positive irrespective of the diabetes status. ESC 2016 HF guidelines

recommend ivabradine in HF patients in sinus rhythm with an EF  $\leq 35\%$  and a resting heart rate (RHR)  $\geq 75$  bpm, or in those who do not tolerate BB or with RHR  $\geq 70$  bpm despite maximum tolerated BB dose [39].

## Sodium-glucose co-transporter-2 inhibitor

Dapagliflozin in DAPA-HF trial [99] significantly reduced composite of HHF/CV death/urgent HF visit (HR 0.74; 95% CI 0.65 to 0.85;  $p < 0.00001$ ), HHF (HR 0.70; 95% CI 0.59 to 0.83;  $p = 0.00004$ ) CV death (HR 0.82; 95% CI 0.69 to 0.98;  $p = 0.029$ ) when compared with placebo in HFrEF patients which included both diabetic and non-diabetic subjects. Dapagliflozin was added to the standard of care. The quality of life was also significantly improved as measured by the Kansas City Cardiomyopathy Questionnaire (KCCQ) score [99]. The results were positive in both T2DM and non-T2DM patients. All cause death was reduced as well (HF 0.83; 95% CI 0.71 to 0.97;  $p = 0.022$ ). Dapagliflozin is the only sodium-glucose co-transporter-2 inhibitor (SGLT2i) to date to have such data on HFrEF patients in both diabetic and non-diabetic patients.

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### Recommendations

1. Neurohormonal activation plays a key role in the pathogenesis of HFrEF; therefore, triple-therapy with ACEI (ARB, if ACEI intolerant), BB, and MRA should be used.
  2. ACEI and not ARBs contributes to the reduction in all-cause mortality and CV death.
  3. The combination of ACEI with ARBs can cause an increased risk of adverse events like hypotension, hyperkalemia, and renal dysfunction.
  4. BB therapy has a better outcome in non-diabetics compared with diabetic patients. They should be used cautiously in T2DM patients, as they may blunt symptoms of hypoglycemia.
  5. MRAs should generally be prescribed irrespective of ejection fraction.
  6. ACEI and ARBs should be replaced by ARNI to reduce morbidity and mortality.
  7. Dapagliflozin has significantly reduced morbidity and mortality in HF patients and could be considered early in the therapy of such patients.
- 

## Heart failure with preserved ejection fraction in patients with type 2 diabetes mellitus

Real-world data indicate a rising HFpEF prevalence [109], and more so diabetes in HFpEF with high mortality equivalent to HFrEF and thus necessitating to target this population for treatment. DM was associated with longer length of stay and lower likelihood of being discharged home. The 30-day all-cause mortality and readmissions to hospital are also more with HFpEF and DM [110]. DM in HFpEF is responsible for alteration hemodynamic changes in patients and hence leading to volume overload and more congestion and increased chances of rehospitalization [111]. In general, DM patients are young and have high body mass index (BMI), high incidence of ischemic event, and hypertension vis-à-vis non-DM patients [112, 113].

These changes indicate importance of treatment of such patients with great attention. Recent meta-analysis, in HFpEF the all-cause mortality was decreased by beta-blockers compared with placebo (RR 0.78, 95%CI 0.65 to 0.94,  $p=0.008$ ) but surprisingly has no effect on HHF (RR 0.67; 95% CI 0.42 to 1.07;  $p=0.10$ ). However, ACE inhibitors/ARBs, MRAs, or other drugs failed to show improvement in either HHF or all-cause mortality [114].

In PARAGON-HF study [115], ARNI also could not significantly lower the rate of total HHF and death from CV causes among patients with HFpEF. There were 894 primary events (composite of total hospitalizations for HF and death from CV causes) in 526/2407 patients in the ARNI group and 1009 primary events in 557/2389 patients in the valsartan group (rate ratio 0.87; 95% CI 0.75 to 1.01;  $p=0.06$ ). The incidence of death from CV causes was 8.5% in the ARNI group and 8.9% in the valsartan group (HR 0.95; 95% CI 0.79 to 1.16); there were 690 and 797 total HHFs, respectively (rate ratio 0.85; 95% CI 0.72 to 1.00). Table 8 enlists HF outcomes in the various HFpEF trials. Emphasis is currently on the use of diuretics to reduce congestion with intensive management of comorbidities.

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### Recommendations for HFpEF

1. In patients with CAD, coronary revascularization could be considered.
  2. Target lipid and blood pressure levels should be achieved.
  3. Mineralocorticoid receptor antagonists can be considered to decrease hospitalizations for heart failure.
- 

## Role of devices in the management of heart failure

Device therapy is indicated for patients with advanced HF or in the presence of comorbid conditions.

## Established and guideline-recommended devices in use

Implantable cardioverter defibrillator (ICD) therapy decreased risk of death (HR 0.77; 97.5% CI 0.62 to 96;  $p=0.007$ ) in both ischemic and nonischemic HF in the SCD HeFT trial [123]. The MADIT-II trial similarly showed a reduction in death (HR 0.69; CI 0.51 to 0.93;  $p=0.016$ ) in patients with prophylactic ICD implantation (Table 9). Cardiac resynchronization therapy (CRT) can correct electrical and mechanical disharmony and improve ventricular efficiency in patients of HF associated with left bundle branch block. The two types of CRT devices include cardiac resynchronization therapy-pace maker (CRT-P), a special pacemaker, and cardiac resynchronization therapy-defibrillator (CRT-D), a pacemaker with an in-built ICD [124]. Cardiac resynchronization therapy is recommended for HFrEF with EF < 35% and a QRS duration of  $\geq 130$  ms (CRT-P),  $\geq 150$  ms (CRT-D) [38, 39].

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### Recommendations

1. Implantable cardioverter defibrillator should be considered in patients with NYHA II to III and LVEF < 35%.
  2. Cardiac resynchronization therapy should be considered in patients with NYHA II to III, LVEF < 35%, QRS  $\geq 150$  ms with left bundle branch block.
- 

## What does the future hold for HF patients?

Most current and ongoing studies in HF may not have included a T2DM cohort; however, as many patients may have T2DM as comorbidity, there is a rationale for extending these benefits for T2DM patients as well.

## The failure after a promise

Serelaxin, a synthetic analog of endogenous relaxin, worked to improve plasma volume and cardiac output while

**Table 7** Clinical trials in heart failure with reduced ejection fraction

Drug	Study	Drug/Intervention, Dosage, Median Follow-up	HF Outcomes
ACEI	SOVLD <sup>105</sup>	Enalapril at doses of 2.5 to 20 mg per day vs placebo	<ul style="list-style-type: none"> <li>Mortality 39.7% vs 35.2%, (RRR= 16%, CI: 5 to 26%) p=0.0036)</li> </ul>
	PARADIGM-HF trial <sup>80</sup>	Enalapril 10 mg twice daily or LCZ696 200 mg	<ul style="list-style-type: none"> <li>CV death or HHF: HR: 0.80; 95% CI: 0.73 - 0.87; p &lt; 0.001)</li> <li>Death (HR: 0.84; 95% CI: 0.76-0.93; p &lt; 0.001),</li> <li>First HHF (HR: 0.79; 95% CI: 0.71-0.89; p &lt; 0.001</li> </ul>
ARNI	CIBIS II <sup>106</sup>	Bisoprolol 1.25 mg (n=1327) or placebo FU: 1.3 years	<ul style="list-style-type: none"> <li>All-cause mortality: 11.8% vs 17.3%, HR: 0.66 (95% CI 0.54–0.81, p&lt;0.0001)</li> <li>Hospital admission for worsening HF: 18% vs 12%, HR: 0.64 (95% CI: 0.53–0.79), p = 0.0001</li> </ul>
	MERIT-HF <sup>107</sup>	Metoprolol 12.5/25 mg or placebo	<ul style="list-style-type: none"> <li>All-cause mortality: 7.2% vs 11%, HR: 0.66; 95% CI: 0.53-0.81; p = 0.00009</li> </ul>

decreasing blood pressure and peripheral resistance. Unfortunately, after very promising early phase results, the phase-3 RELAX-AHF 2 trial did not meet the end points of CV death and worsening HF in patients with acute HF. Tolvaptan, an oral vasopressin type 2 receptor antagonist, worked to improve symptoms of congestion in HF. The EVEREST study [127] results showed an improvement in dyspnea but with no improvement in the QoL. Similarly, the QUEST study [128] showed an improvement in HF symptoms, but with a risk of hyponatremia at higher doses.

### Next 10 years; what to expect?

Tables 10 and 11 enlist ongoing trials on pharmacological management of HFrEF and HFpEF.

Ularitide is being investigated in several phase 1 and II trials for acute HF [129]. It is a synthetic analog of the endogenous urodilantin, a renal peptide hormone secreted in response to increased pressure. It causes diuresis, vasodilation,

and inhibition of RAAS by binding to natriuretic peptide receptor (NPR-A). Levosimendan is an inotrope with additional actions of vasodilation and protection against ischemia and reperfusion injury. It enhances cardiac contractility in acute HF and may be considered in patients with hypotension and hypoperfusion [130]. Phosphodiesterase type 5 (PDE-5) inhibitors like sildenafil, treprostinil, and udenafil are pulmonary vasodilators with a positive effect on LV remodeling. They are primarily used in the management of pulmonary arterial hypertension and have shown more benefits in HFrEF compared with HFpEF [131]. Oral nitrite is considered a novel agent for HFpEF with pulmonary arterial hypertension. After the success of a single dose of inhaled nitrite (phase 2 trial) in increasing pulmonary artery compliance, a single oral dose also achieved improved cardiac hemodynamics [132]. Omecamtiv mecarbil is a specific cardiac myosin activator, which aims to improve cardiac contractility. It showed promising results in phase 2 COSMIC-HF study [133] with an improvement in stroke volume, systolic ejection time, and biomarkers. The ongoing phase 3 GALACTIC-HF trial is

**Table 8** Clinical trials in heart failure with preserved ejection fraction

Drug	Study	Drug, Dosage, Median Follow-up	HF Outcomes
ARB	CHARM-Preserved <sup>116</sup>	Candesartan 32 mg vs. placebo FU: 36.6 months	<ul style="list-style-type: none"> <li>CV death or hospitalization for CHF: 0.86 (0.74–1.0) p = 0.051</li> <li>CV death: 0.95 (0.76–1.18) p = 0.635</li> <li>Hospitalization for CHF: 0.84 (0.70–1.00) p = 0.047</li> <li>CV death, hospitalization for CHF, MI: 0.87 (0.75–1.00) p = 0.051</li> <li>CV death, hospitalization for CHF, MI, stroke: 0.86 (0.75–0.99) p = 0.037</li> </ul>
	I-PRESERVE <sup>117</sup>	Irbesartan 300 mg vs. placebo FU: 49.5 months	<ul style="list-style-type: none"> <li>Death from any cause or hospitalization for a CV cause: 0.95 (0.86–1.05) p = 0.35</li> <li>Death: 1.00 (0.88 to 1.14) p = 0.98</li> <li>Hospitalization for CV cause: 0.95 (0.85 to 1.08) p = 0.44</li> <li>Hospitalization for worsening HF: 0.95 (0.81–1.10) p = 0.50</li> </ul>
ACEI	PEP-CHF <sup>118</sup>	Perindopril 4 mg FU- 2.1 year	<ul style="list-style-type: none"> <li>Death or hospitalization: 0.919 (0.700–1.208) p = 0.545</li> <li>Hospitalization for HF: 0.86 (0.61–1.20) p = 0.375</li> <li>Death: 1.09 (0.75–1.58) p = 0.665</li> <li>CV death: 0.98 (0.63–1.53) p = 0.928</li> </ul>
	Aldo-DHF <sup>119</sup>	Spironolactone 25 mg or placebo FU-12 months	<ul style="list-style-type: none"> <li>Diastolic function: -1.5 (-2.0 to -0.9) p &lt; 0.001</li> <li>Maximal exercise capacity: 0.1 (-0.6 to 0.8) p = 0.81</li> <li>LV ejection fraction: 1.6 (0.1–3.1) p = 0.04</li> </ul>
BB	SENIORS <sup>120</sup>	Nebivolol up to 10 mg vs. placebo FU: 21 months	<ul style="list-style-type: none"> <li>All-cause mortality or CV hospitalization: 0.86 (0.74–0.99) p = 0.039</li> <li>All-cause mortality: 0.88 (0.71–1.08) p = 0.21</li> <li>CV mortality: 0.84 (0.66–1.07) p = 0.17</li> <li>CV hospitalization: 0.90 (0.76–1.06) p = 0.20</li> </ul>
	J-DHF <sup>121</sup>	Carvedilol up to 10 mg twice-daily vs. control FU- 3.2 years	<ul style="list-style-type: none"> <li>CV death and unplanned HHF: 0.902 (0.546–1.488) p = 0.6854</li> <li>All-cause mortality: 0.990 (0.526–1.864) p = 0.9747</li> <li>Worsening of symptoms: 0.879 (0.470–1.643) p = 0.8337</li> <li>CV death, or unplanned hospitalization: 0.768 (0.504–1.169) p = 0.2178</li> <li>All-cause death, or unplanned hospitalization for HF: 0.990 (0.627–1.564) p = 0.9655</li> </ul>
Sildenafil (Liu et al, 2017) <sup>122</sup>	Effects of sildenafil on cardiac structure and function, cardiopulmonary exercise testing and health-related QoL measures in HFpEF and pulmonary hypertension	Sildenafil 60 mg three times a day vs. placebo FU-12 weeks	<ul style="list-style-type: none"> <li>Peak heart rate: 8 bpm (-14.97 to -1.03)</li> <li>Peak blood pressure: 13.8 mmHg (-22.04 to -5.47)/7.3 mmHg (-13.60 to -1.07)</li> </ul>

**Table 9** Device RCTs with positive heart failure outcomes

Study	Device	Patient population	Outcomes
COMPANION <sup>125</sup>	CRT-P, CRT-D, or medical therapy	NYHA III–IV QRS $\geq$ 120 ms	<ul style="list-style-type: none"> <li>• In DM patients with advanced HF, there was a substantial benefit from device therapy with significant improvement in all end points.</li> <li>• All-cause mortality or all-cause hospitalization (HR = 0.77, 95% CI 0.62–0.97),</li> <li>• All-cause mortality or cardiovascular hospitalization (HR = 0.67, 95% CI 0.53–0.85),</li> <li>• All-cause mortality or HHF (HR = 0.52, 95% CI 0.40–0.69)</li> <li>• All-cause mortality (HR = 0.67, 95% CI 0.45–0.99)</li> </ul>
SCD-HeFT <sup>123</sup>	ICD vs amiodarone vs placebo	NYHA II–III EF $\leq$ 35%	<ul style="list-style-type: none"> <li>• Reduction in death with ICD in non-DM was 0.67 (97.5% CI, 0.50–0.90) vs 0.95 (97.5% CI, 0.68–1.33) in DM</li> </ul>
MADIT-II <sup>126</sup>	ICD	Prior MI EF $\leq$ 30%	<ul style="list-style-type: none"> <li>• The risk of death in patients treated with the ICD compared with conventional therapy was similar in DM (HR 0.61; 95% CI 0.38 to 0.98) and non-DM (HR 0.71; 95% CI 0.49 to 1.05)</li> </ul>

expected to conclude in 2021. CT-1 is considered a novel drug based on gp130, which forms a part of cytokine receptors. Cytokines like IL-6, IL-11, and CT-1 along with their receptors are associated with inflammation. CT-1 is said to induce a beneficial physiological cardiac hypertrophy and retard the pathological hypertrophy [134]. Tafamidis is specifically used for the management of transthyretin amyloid cardiomyopathy. It binds to the thyroxine-binding sites of transthyretin with high affinity and selectivity and has shown a reduction in ACM, CV-related hospitalization, and retarded exercise capacity decline [135]. Canakinumab, an anti-inflammatory monoclonal antibody targeting IL-1 $\beta$ , demonstrated a dose-dependent reduction in HHF in patients with previous MI and elevated high sensitivity C-reactive protein (hsCRP). However, it was complicated by a high risk of serious infections [136].

Gene therapy is a promising approach to rejuvenate the failing cardiomyocytes. Although most preclinical studies demonstrated highly promising results, their translation into

improved clinical outcomes has not occurred. Gene therapy could play a role in restoring depleted membrane proteins, or by balancing the intracellular calcium concentration [134]. Genes for SERCA2a are said to restore intracellular calcium concentration. Studies have shown a reduction in CV events and the average hospitalization time [137, 138], but the improvement in patient outcomes has not been substantial. Percutaneous insertion of genes into the heart using adeno-associated virus 1 (AAV1), AAV6, and AAV9 as vectors is another development under study. New gene targets with improved gene delivery systems may pave the way for keeping the promise of successful management of HF. Stem cell therapy has generated interest, as it can potentially regenerate or replace damaged cardiomyocytes, but the response has been a mix of optimism and disappointment due to inconsistent results [139, 140]. Human pluripotent stem cells (hPSCs) are replacing embryonic stem cells because of lack of ethical issues or fear of rejection [140]. A heart transplant is the preferred therapy for patients with end-stage HF and has better



**Table 10** Ongoing trials in HFrEF (pharmacological approach)

Drug	Study Name (Title)	Drug	Primary Objective
SGLT2i	EMPEROR-Reduced (EMPagliflozin outcomE tRial in Patients With chrOnic heaRt Failure With Reduced Ejection Fraction) NCT03057977 <sup>141</sup>	Empagliflozin vs. placebo	<ul style="list-style-type: none"> <li>• Time to first event of adjudicated CV death or adjudicated HHF</li> <li>• A heart of adjudicated HHF</li> <li>• Time to first adjudicated HHF</li> <li>• Time to all-cause mortality</li> <li>• Time to onset of DM</li> <li>• Occurrence of all-cause hospitalization</li> </ul>
	EMPERIAL-reduced (Effect of 12 Weeks Treatment of Once Daily) EMPagliflozin 10 mg Compared With Placebo on ExeRcise Ability and Heart Failure Symptoms, In Patients With Chronic HeArt FaiLure With Reduced Ejection Fraction (HFrEF) NCT03448419 <sup>142</sup>	Empagliflozin vs placebo	<ul style="list-style-type: none"> <li>• Change from baseline to week 12 in CHQ-SAS dyspnea score</li> <li>• Change from baseline in PGI-S of HF symptoms at week 12</li> <li>• PGI-C in HF symptoms at week 12</li> </ul>
	DETERMINE-reduced (Dapagliflozin Effect on Exercise Capacity Using a 6-minute Walk Test in Patients With Heart Failure With Reduced Ejection Fraction) NCT03877237 <sup>143</sup>	Dapagliflozin vs placebo	<ul style="list-style-type: none"> <li>• To determine whether dapagliflozin is superior to placebo in increasing exercise capacity in patients with chronic heart failure NYHA functional class II-IV and preserved ejection fraction</li> <li>• To determine whether dapagliflozin is superior to placebo in improving patient-reported HF symptoms</li> </ul>

survival rates due to advances in immunosuppression, better rejection diagnostic methods, and expansion of donor pool due to the inclusion of donation after circulatory death [129].

## Conclusion

Heart failure and T2DM are the major public health threats globally. In India, 14% of deaths are due to HF in patients with T2DM. Heart failure classification forms the basis of its management. There are mixed reports about the prognosis of HFrEF and HFpEF in patients with T2DM. However, research suggests that both equally increase the risk of hospitalization

and death in patients with T2DM. Moreover, in patients with a new diagnosis of T2DM, the risk of MACE, HF, and death increased incrementally with a greater number of comorbidities with CKD being the main driver of mortality. Heart failure diagnosis necessitates a detailed history, assessment of clinical signs and symptoms, assessment of diagnostic biomarkers, an electrocardiogram, and 2D-Echo. Biomarkers can decide prognosis and treatment trajectory in patients with T2DM having HF. This consensus document was aimed to ensure better patient care, optimize prognosis, and reduce the cost burden by implementing the most efficient treatment strategies for the management of HF in T2DM. SGLT2i may play a role in the prevention of HF in T2DM patients by its ability to offer

**Table 11** Ongoing trials in HFpEF (pharmacological approach)

Drug	Study Name (Title)	Drug	Primary Objective
ARNI	PARALLAX (A Randomized, Double-blind Controlled Study Comparing LCZ696 to Medical Therapy for Comorbidities in HFpEF Patients) NCT03066804 <sup>144</sup>	LCZ696 vs sacubitril/valsartan LCZ696 vs Enalapril LCZ696 vs Valsartan LCZ696 vs placebo to match LCZ696 vs placebo to match enalapril LCZ696 vs placebo to match valsartan.	vs <ul style="list-style-type: none"> <li>• Change from baseline in N-terminal pro-brain natriuretic peptide (NT-proBNP) at week 12</li> <li>• Change from baseline in NYHA functional class at week 24</li> </ul>
	PERSPECTIVE (Efficacy and Safety of LCZ696 Compared to Valsartan on Cognitive Function in Patients with Chronic HFpEF) NCT02884206 <sup>145</sup>	LCZ696 vs Valsartan. LCZ696 vs placebo of LCZ696. LCZ696 vs placebo of Valsartan	NA
Trepostinil	A Multicenter, Randomized, Double-blind, Placebo-controlled Study to Evaluate the Safety and Efficacy of Oral Trepostinil in Subjects With Pulmonary Hypertension (PH) in HFpEF NCT03037580 <sup>146</sup>	Oral trepostinil vs placebo	vs <ul style="list-style-type: none"> <li>• Change in NT-proBNP Levels from baseline to Week 24</li> <li>• Time to the first clinical worsening event over the 24-week treatment period</li> </ul>
Udenafil	ULTIMATE-HFpEF (A Randomized Trial of Udenafil Therapy in Patients With HFpEF) NCT01599117 <sup>147</sup>	Udenafil (Zydena) vs placebo	vs <ul style="list-style-type: none"> <li>• Admission for HF</li> <li>• Composite clinical endpoints</li> <li>• Change of symptomatic status expressed as NYHA functional class</li> <li>• Cardiac death</li> </ul>
Inorganic nitrite	INABLE-Training (Inorganic Nitrite to Amplify the Benefits and Tolerability of Exercise Training in HFpEF) NCT02713126 <sup>148</sup>	Oral Sodium Nitrite vs placebo	vs <ul style="list-style-type: none"> <li>• Change in symptoms of HF</li> </ul>

Table 11 (continued)

Drug	Study Name (Title)	Drug	Primary Objective
SGLT2i	EMPEROR-Preserved (EMPagliflozin outcome Trial in Patients With chrOnic heaRt Failure With Preserved Ejection Fraction) NCT03057951 <sup>149</sup>	Empagliflozin vs placebo	<ul style="list-style-type: none"> <li>• Time to first event of adjudicated CV death or adjudicated HHF in patients with HFpEF</li> <li>• Occurrence of adjudicated HHF (first and recurrent)</li> <li>• Time to first adjudicated HHF</li> <li>• Time to adjudicated CV death</li> <li>• Time to all-cause mortality</li> <li>• Time to onset of DM</li> <li>• Change from baseline in clinical summary score (HF (CHF) symptoms and physical limitations domains) of the KCCQ</li> <li>• Occurrence of all-cause hospitalization</li> </ul>
	EMPERIAL- Preserved (12 Weeks Treatment of Once Daily) EMPagliflozin 10 mg Compared With Placebo on ExeRcise Ability and Heart Failure Symptoms, In Patients With Chronic HeArT FaiLure With Preserved Ejection Fraction (HFpEF) NCT03448406 <sup>150</sup>	Empagliflozin vs placebo	<ul style="list-style-type: none"> <li>• Change from baseline to week 12 in CHQ-SAS dyspnea score</li> <li>• Change from baseline in PGI-S of HF symptoms at week 12</li> <li>• PGI-C in HF symptoms at week 12</li> </ul>
	PRESERVED-HF; (Dapagliflozin in PRESERVED Ejection Fraction Heart Failure ) NCT03030235 <sup>151</sup>	Dapagliflozin vs placebo	Change from baseline in NTproBNP at 6 and 12 weeks
	DETERMINE-preserved – (Dapagliflozin Effect on Exercise Capacity Using a 6-minute Walk Test in Patients With Heart Failure With Preserved Ejection Fraction) NCT03877224 <sup>152</sup>	Dapagliflozin vs placebo	<ul style="list-style-type: none"> <li>• Change from baseline to week 12 in KCCQ overall summary score</li> <li>• Change from baseline in 6-minute walking distance at Week16</li> <li>• Change from baseline in the KCCQ Total symptom score at Week16</li> </ul>

Table 11 (continued)

Drug	Study Name (Title)	Drug	Primary Objective
	DELIVER- Dapagliflozin (Evaluation to Improve the LIVES of Patients With Preserved Ejection Fraction Heart Failure) NCT03619213 <sup>153</sup>	Dapagliflozin vs placebo	<ul style="list-style-type: none"> <li>• Time to the first occurrence of any of the components of this composite: 1) CV death; 2) Hospitalization for HF; 3) Urgent HF visit</li> <li>• Total number of (first and recurrent) hospitalizations for HF and CV death</li> <li>• Proportion of patients with worsened NYHA class from baseline to 8 months</li> <li>• Time to the occurrence of death from any cause</li> </ul>
	ERADICATE-HF (Ertugliflozin trial in Diabetes With Preserved or Reduced ejection Fraction Mechanistic Evaluation in Heart Failure trials) NCT03416270 <sup>154</sup>	Ertugliflozin vs placebo	<ul style="list-style-type: none"> <li>• Heart rate</li> <li>• Echocardiography for markers of systolic and diastolic function, cardiac output</li> <li>• Arterial stiffness</li> <li>• Systolic blood pressure</li> <li>• Diastolic blood pressure</li> <li>• Cardiac output</li> <li>• Systemic vascular resistance</li> </ul>

protection from CV complications in addition to optimum glycemic control. DECLARE-TIMI 58 results with dapagliflozin generated hope by showing a significant reduction in HF in patients with T2DM, with no prior ASCVD or HF. Along with SGLT2i, new innovations in device design and delivery, gene therapy, and stem cell therapy may hold the key to revolutionize the management of HF, especially in patients with T2DM. Whether this will help to achieve the ideal long-term objective of extending the life span of patients with T2DM with HF, only time and more path-breaking studies will tell.

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### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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